



# IBM Charlotte

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## IBM Intellectual Property Law Department

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To: United States Patent And Trademark Office Fax #: 703-746-8847

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T/L 794-8302 T/L 794-8300 T/L 794-8304

Number of Pages to Follow: 1 Date: October 02, 2002

### Application Information:

Applicant: Edward R. Swiatek Examiner: Daniel St Cyr  
File Date: 04/19/2000 Serial Number: 09 / 552,348 Group Art: 3219  
Title: Interactive Dispense Denomination Control

**FAX RECEIVED**

OCT 02 2002

T.C. 2800

### Items Included In FAX:

1. Page 1 of Amendment originally faxed June 04, 2002
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \*\*Attention Cassandra Paris

Charged to Deposit Account # 09-0469: \$ 0.00

Docket Number: CHA9 1999 0018 US1

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PTOSB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031  
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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	<b>09 / 552,348</b>
		Filing Date	<b>04/19/2000</b>
		First Named Inventor	<b>Edward R. Swiatek</b>
		Group Art Unit	<b>3219</b>
		Examiner Name	<b>Daniel St Cyr</b>
Total Number of Pages in This Submission	<b>20</b>	Attorney Docket Number	<b>CHA9 1999 0018 US1</b>

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☒ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Documents☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
Under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Changes of Correspondence  
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communications  
to Group☐ Appeal Communication to Board  
Of Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☐ Other Enclosure(s) (please  
Identify below):

Remarks

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JUN 04 2002

TECHNOLOGY CENTER 2800

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
Or  
Individual NameInternational Business Machines Corporation  
8501 IBM Drive, Intellectual Property Law Dept.  
Charlotte, NC 28262-4333

Signature

*James D. Palmer*

Date

June 04, 2002

**CERTIFICATE OF FACSIMILE TRANSMITTAL**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademarks, Washington, DC,

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**June 04, 2002**

Typed or printed name

**James D. Palmer**

Signature

*James D. Palmer*

Date

**June 04, 2002**

PTO/SB/17 (11-00)

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

TOTAL AMOUNT OF PAYMENT

\$ 252.00

Application Number

09 / 552,348

Filing Date

04/19/2000

First Named Inventor

Edward R. Swiatek

Examiner Name

Daniel St Cyr

Group Art Unit

3219

Attorney Docket No.

CHA9 1999 0018 US1

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit

Account

09-0469

Number

Deposit

Account

Name

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATIONS

## 1. BASIC FILING FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fees	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20** =	X	=	
Independent Claims 9 - 6** =	3 X	84.00 =	252.00
Multiple Dependent		=	

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$)

Code (\$)

Fee Description

103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, If not paid
109 84	209 42	**Reissue Independent claims over Original patent

SUBTOTAL (2) \$ 252.00

\*\* or number previously paid, if greater; For Reissue, see above

## FEE CALCULATION (continued)

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## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	115 55	Extension for reply within first month	
116 400	216 200	Extension for reply within 2nd month	
117 920	217 460	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petition to the Commissioner	
123 50	123 50	Processing fee for provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per Property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be Examined (37 CFR § 1.129(b))	
179 740	279 370	Request for continued Examination (RCE)	
169 900	169 900	Request for expedited examination Of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$

## SUBMITTED BY

Name (Print/Type)

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Date

4 JUNE 2002